



12/21/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 111562

Date: December 21, 2001

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## BOX PATENT APPLICATION

Customer Number: 25944

NONPROVISIONAL APPLICATION TRANSMITTAL  
RULE §1.53(b)Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): APPARATUS FOR MEASURING SURFACE SHAPE, LATERAL COORDINATE  
CALIBRATION METHOD THEREFOR, AND OPTICAL MEMBER HAVING BEEN  
MEASURED WITH SAID APPARATUS OR METHOD

By (Inventors): Shigeru NAKAYAMA

- ☒ Formal drawings (Figs. 1-8; 8 sheets) are attached.
- ☐ Use Figure \_\_\_\_\_ for front page of Publication.
- ☒ A Declaration and Power of Attorney is filed herewith.
- ☒ This patent application is assigned to NIKON CORPORATION.
- ☒ The executed Assignment is filed herewith.
- ☐ An Information Disclosure Statement is filed herewith.
- ☒ Entitlement to small entity status is hereby asserted.
- ☒ A Preliminary Amendment is filed herewith.
- ☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☒ Priority of foreign applications No. 2000-398968 filed December 27, 2000 in Japan and No. 2001-001818 filed January 9, 2001 in Japan are claimed (35 U.S.C. §119).
- ☐ A certified copy of the above corresponding foreign applications is filed herewith.
- ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☒ The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED ABOVE

| FOR:   | NO. FILED | NO. EXTRA |
|--|-----------|-----------|
| BASIC FEE  |           |           |
| TOTAL CLAIMS   | 16 - 20   | = 0*      |
| INDEP CLAIMS   | 3 - 3     | = 0*      |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED |           |           |

\* If the difference is less than zero, enter "0".

## SMALL ENTITY

| RATE    | FEE    |
|---------|--------|
|         | \$ 370 |
| x 9 =   | \$     |
| x 42 =  | \$     |
| + 140 = | \$     |
| TOTAL   | \$     |

OTHER THAN A  
SMALL ENTITY

| RATE  | FEE     |
|-------|---------|
|       | \$ 740  |
| x 18  | \$ ---- |
| x 84  | \$ ---- |
| + 280 | \$ ---- |
| TOTAL | \$ 740  |

- ☒ Check No. 126288 in the amount of \$740.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

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 jce11 U.S. PTO  
 10/024145  
 12/21/01

1002115-126288